

1 **BASTA, a 95 GHz FMCW Doppler radar for cloud and fog studies**

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ABSTRACT

21 Doppler cloud radars are amazing tools to characterize cloud and fog prop-
22 erties and to improve their representation in models. However commercially-
23 available cloud radars (35 and 95 GHz) are still very expensive, which hinders
24 their widespread deployment. In this study we present the development of a
25 lower-cost semi-operational 95 GHz Doppler cloud radar called BASTA for
26 Bistatic rAdar SysTem for Atmospheric studies. In order to drastically reduce
27 the cost of the instrument a different approach is used compared to traditional
28 pulsed radars: instead of transmitting a large amount of energy for a very
29 short time period (as a pulse), a lower amount of energy is transmitted contin-
30 uously. In the paper we show that using specific signal processing technique
31 the radar can challenge expensive radars and provide high-quality measure-
32 ments of cloud and fog. The latest version of the instrument has a sensitivity
33 of about -50 dBZ at 1 km for 3 s integration and a vertical resolution of 25 m.
34 BASTA radar currently uses four successive modes for specific applications:
35 the 12.5 m vertical resolution mode is dedicated to fog and low clouds, the
36 25 m mode is for liquid and ice mid-tropospheric clouds and the 100 m and
37 200 m are ideal for optically-thin high-level ice clouds. We also highlight the
38 advantage of such a radar for calibration procedures and field operations. The
39 radar comes with a set of products dedicated to cloud and fog studies. For
40 instance, cloud mask, corrected Doppler velocity and multi mode products
41 combining high sensitivity mode and high resolution modes are provided.

42 **1. Introduction**

43 Doppler cloud radars are amazing tools to characterize cloud and fog properties and to improve
44 their representation in models (Illingworth et al. 2007; Bouniol et al. 2010; Haeffelin et al. 2009;
45 Maier et al. 2012; Dupont et al. 2012). Depending on the scientific application, they can be de-
46 ployed from ground or ship (Moran et al. 1998; Kollias et al. 2007a), aircraft (Horie et al. 2000;
47 Li et al. 2001; Wolde and Pazmany 2005; Delanoë et al. 2013; Hagen et al. 2014) or satellite
48 (Stephens et al. 2002; Illingworth et al. 2015). The unique aspect of a Doppler cloud radar is
49 its capability to describe at high vertical resolution (typically 50-100m) cloud properties from all
50 types of clouds, from thin cirrus to rain or snow. The high-frequency cloud radars are however
51 subject to attenuation in rain cases but they can still be used as long as the attenuation is corrected
52 and not too strong (Lhermitte 1990). However despite these obvious advantages, cloud radars are
53 currently not deployed in coordinated networks as are other instruments, such as radiation instru-
54 ments and lidars (e.g. Illingworth et al. (2007)). The reason for this is that commercially-available
55 cloud radars are still very expensive (i.e. over 500 keuros), hampering their widespread deploy-
56 ment. In order to overcome this problem, we explore in this paper the development of a lower-cost
57 semi-operational 95 GHz Doppler cloud radar. Most of the cost comes from the transmitter itself,
58 as 95 GHz pulsed radars need to transmit typically 1-2 kW to achieve the sensitivity required for
59 cloud and fog studies. In order to drastically reduce the cost of the instrument a different approach
60 can be envisaged: instead of transmitting a large amount of energy for a very short time period
61 (as a pulse), a lower amount of energy can be transmitted continuously. This technology is known
62 as Frequency Modulated Continuous Wave (FMCW) (Ligthart et al. 1986; Yamaguchi et al. 2006;
63 Huggard et al. 2008; Sami 2009; Williams 2011). Such FMCW radars have been developed in the
64 past for a wide range of applications, such as the characterization of ocean waves (Hauser et al.

65 1992). Very few developments have been geared towards the characterization of clouds and fog
66 (e.g., Yamaguchi et al. (2006); Huggard et al. (2008); Thies et al. (2010)). The main challenge of
67 such a radar is to optimize the signal processing in order to compensate for the lack of power of the
68 transmitter. Also, when a continuous signal is transmitted instead of a pulse, it becomes mandatory
69 to find a way to "tag" the signal in order to identify where the energy comes from and the phase
70 difference to compute the Doppler spectrum. Also, receiving CW signals with an antenna very
71 close to the transmitting antenna introduces additional challenges. In this paper we describe the
72 FMCW radar project BASTA (Bistatic rAdar SysTem for Atmospheric studies) developed at the
73 LATMOS (Laboratoire Atmosphres, Milieux, Observations Spatiales) and illustrate the potential
74 of such a radar for cloud and fog studies. In section 2 we provide a general description of the
75 BASTA radar and its applications. A technical description of the radar and the principle of this
76 FMCW radar are then given in section 3. Section 4 describes the calibration aspect of the radar us-
77 ing different approaches. Comparisons of the BASTA radar against a state-of-the-art pulsed radar
78 are discussed in section 5. Some remaining issues and technical points are presented in section 7.
79 Conclusions and discussions on the next steps of this development are given in section 8.

80 **2. General description of the BASTA concept and application**

81 *a. Main characteristics of the radars*

82 After a long development process which started in 2006, the first prototype of BASTA has been
83 deployed at SIRTa (Haeffelin et al. (2005)) in Palaiseau, France. This first prototype has operated
84 continuously since 2010. The BASTA radar has even operated 100% of the time for the past two
85 years. This illustrates the robustness of the design for potential operational deployment. Pictures
86 of the instrument are shown in Fig.1, where panel A illustrates the bistatic configuration of the

87 radar. The two Cassegrain dishes (60 cm in diameter) as well as all the electronic components are
88 installed in a pressurized and insulated box (154 cm×95 cm×74 cm). The main characteristics
89 of BASTA are given in Table 1. Power generators and the acquisition computer are stored in a
90 shelter. Panel B shows the outside of the radar, when covered by its radome. It is to be noted that
91 a simple plexiglass roof window is used instead of a very expensive radome. The performance
92 of this radome fully satisfies the requirements. We estimated the two-way attenuation loss due
93 to such a radome to be smaller than 3 dB by alternating measurements through a homogeneous
94 cloud layer with and without the radome. Stickers are also used to protect the radar from direct
95 solar radiation and to mitigate the green house effect during summer time. The radar uses a solid
96 state transmitter (0.5 W) and measures both reflectivity and Doppler velocity. Building on the
97 first prototype operating at SIRTA (BASTA-SIRTA), we developed a new generation of BASTA
98 radars with very similar characteristics (Table 1) but with upgraded capabilities (slightly more
99 powerful amplifier, i.e. 1 W). The first one (hereafter referred to as BASTA-BOM) belongs to the
100 Australian Bureau of Meteorology. The second one (BASTA-MOBILE) is owned by LATMOS.
101 Both are dedicated to field campaign deployments. The three radars share the same dimensions
102 and weight (around 60 kg). Therefore they are easy to manipulate and move. BASTA-BOM and
103 BASTA-MOBILE are almost identical.

104 *b. Radar measurements and dynamic range*

105 Fig.2 shows three weeks of continuous measurements of reflectivity (top panel) and Doppler
106 velocity (bottom panel) at SIRTA as collected with the BASTA-MOBILE. A large variety of me-
107 teorological conditions and cloud types is observed, including low clouds, fog, cirrus and liquid
108 precipitation. The vertical resolution is 25 m and the integration time is set to 3 s, with a maximum
109 range of 12 km and a Nyquist velocity of 5 m/s. This example shows the capability of the radar

110 to operate continuously for uninterrupted periods of time, and to detect all types of clouds with its
111 sensitivity of about -44 dBZ at 1 km corresponding to this setup (sensitivity is discussed hereafter).
112 Note that the background noise has been removed (see section d).

113 Fig.3 illustrates the statistical performances of the three radars (BASTA-
114 SIRTA/BOM/MOBILE) at 25 m resolution and 3 s integration for different time periods.
115 Left-hand panels (a to f) represent the probability distribution of the calibrated and range
116 corrected reflectivity versus altitude. Only reflectivities above noise level are included. The noise
117 level is determined using the furthest clear sky gate from the radar for each radial. In case there
118 is no clear sky gate available a default value is used based on the latest available values. The
119 BASTA-SIRTA distribution (panel a) is the accumulation of one year (2014) of data at SIRTA
120 with the first prototype. Panel b shows statistics derived from 9 months of data collected with
121 BASTA-BOM at Darwin airport from March to December 2014. Note that the reflectivity has not
122 been corrected from gaseous attenuation. This panel illustrates the capability of BASTA radars
123 to observe clouds up to 12 km. The BASTA-BOM was deployed at a tropical latitude (Darwin,
124 Northern Australia), characterized by heavy precipitation during the wet season and a melting
125 layer at about 5 km height. The small change in 0°C isotherm altitude during the wet season
126 explains the sharp drop in reflectivity at 5 km. The altitude of the melting layer is not as readily
127 observed in the midlatitude data given the comparably larger seasonal variability of the melting
128 layer height. During the development phase of the two most recent radars, we carried out several
129 direct comparisons between the prototype and the two other radars operating at the same time
130 and place. A direct comparison of the performances of the BASTA-BOM radar against the first
131 prototype is shown in panels c and d for the last ten days of January 2014 at SIRTA. Despite a
132 small amount of data the better sensitivity of the BASTA-BOM radar is obvious, especially for
133 high altitude clouds where the BASTA-BOM shows much more hits above 6 km. One month

134 of data has been collected with BASTA-MOBILE at SIRTA (January 2015). The results are
135 presented in panels e and f for the same period. BASTA-MOBILE, due to its better sensitivity, also
136 exhibits more hits above 6 km with a difference in sensitivity larger than 10 dB. In order to better
137 characterize the sensitivity and the measurement dynamics of the radars, the same distributions but
138 normalized by the total number of measurements at a given altitude are shown on the right-hand
139 panels of Fig.3, ie from g to l. Percentages smaller than 0.1% are not displayed. We estimate the
140 overall sensitivity of each radar at 1 km as -35 dBZ, -49 dBZ and -44 dBZ for BASTA-SIRTA,
141 BASTA-BOM and BASTA-MOBILE respectively for 3 s integration. These results (not shown)
142 confirmed the statistical difference in sensitivity between the radars as observed in Fig.3. It is
143 important to mention though that this sensitivity changes with atmospheric conditions and noise
144 removing technique. Fig.3 also allows for an estimation of the dynamic range of these radars at
145 1 km. This dynamic range is about 42 dB, 60 dB and 55 dB for BASTA-SIRTA, BASTA-BOM
146 and BASTA-MOBILE, respectively.

147 *c. Four modes for different applications*

148 Clouds in the troposphere are characterized by a variety of geometrical and optical thicknesses
149 at different heights. Designing the most appropriate cloud radar parameters requires to take into
150 account our current knowledge of these cloud properties. Given the range squared loss in sensitiv-
151 ity, it is much more challenging to detect thin cirrus clouds in the Tropics (found at ranges up to 18
152 km) than to detect drizzling stratocumulii. It is also crucial to detect some types of clouds with as
153 high a vertical resolution as possible, in order to accurately characterize the altitudes of their base
154 and top. It is particularly important for geometrically thin liquid cloud layers and fog. However,
155 we cannot have both in one operating mode, as increasing range resolution readily comes at the
156 expense of sensitivity.

157 As a result, cloud radars typically use more than one mode of operations. For instance, cloud
158 radars deployed by the US Department of Energy (DOE) Atmospheric Radiation Measurement
159 (ARM) program use a "general" mode with intermediate sensitivity and range resolution, but also
160 a "precipitation" mode, a "cirrus" mode using pulse compression, and a "boundary layer" mode
161 (Kollias et al. 2007b) to optimize the detection of these different types of clouds. Following this
162 requirement that the detection of different types of clouds should be optimized, the BASTA radars
163 use four distinct modes, characterized by different range resolutions: 12.5 m, 25 m, 100 m and
164 200 m. Radar characteristics of each mode are presented in Table 2. Since the integration time is
165 set to 3 s for the modes, each mode is therefore repeated every 12 s. The real time processing is
166 sufficiently fast to process data during the acquisition time. The 25 m resolution mode covers the
167 range 125 m to 12 km with a sensitivity suitable to detect most low-level liquid clouds and thick
168 cirrus. The 12.5 m resolution mode is dedicated to the low clouds, fog and precipitation. In this
169 mode, the 6 dB loss in sensitivity relative to the 25 m mode is balanced by the closer range of fog
170 and thin liquid clouds or the high reflectivity of rain. Also, this 12.5 m mode is limited to 6 km but
171 the Nyquist velocity is extended to 10 m/s, which is particularly relevant for rain. This very high
172 vertical resolution mode is ideal for fog and low stratus studies (Maier et al. 2012; Dupont et al.
173 2012).

174 Fig 4 shows two examples of low cloud/fog measurements. The first case is the evolution of a
175 drizzling stratus sampled for over 70 h from the 21st of December 2014. The second one shows
176 the life cycle of a persistent fog from the 5th of January 2015. The minimum range measurement
177 for the 12.5 m mode is about 40 m for the Doppler velocity, which corresponds to three radar
178 gates. Note that this short minimum distance measurement is a benefit from the bistatic nature of
179 the instrument. Unfortunately we cannot use the very first gates due to coupling effect (i.e. direct
180 interaction between the antennas at very close range). The reflectivity measured between 40 m

181 and 240 m must be used with caution due to the beam overlap issue and the fact that the far field
182 approximation is not valid (minimum 240 m at this wavelength with 60 cm dishes). Note that
183 Sekelsky (2002) proposed a correction for the near near-field reflectivity.

184 The two other modes which are mainly dedicated to the detection of thinner cirrus clouds, 100 m
185 and 200 m are 6 dB and 9 dB more sensitive than the 25 m mode, respectively. Fig.5 illustrates the
186 four modes measurements for the 18th of January 2014 case with the BASTA-BOM radar at SIRT
187 during its test phase. The two top panels depict the range-corrected and calibrated reflectivity and
188 Doppler velocity. We clearly see the impact of the mode on the sensitivity and the capacity to
189 measure at very close range to the radar. From this example we observe a noise contamination
190 from 10 UTC until the end of the day above 5 km for the 12.5 m mode.

191 This artifact can be easily removed using the information from the other modes. Note that this
192 rise in the noise floor appears only if the meteorological signal is very strong in the vicinity of the
193 radar and the meteorological target has a weak echo above this strong signal. This is due to the
194 imperfections of one of the electronic parts (Single Side Band mixer defined later in the text) and
195 the choice of the central chirp frequency. It does not affect all modes in the same way as it also
196 depends on the frequency width of the chirp. We are currently investigating a solution to remove
197 this artifact by changing the central chirp frequency. Note that it is possible to select 4 modes or
198 one of them and the integration time from the radar control software.

199 *d. Radar products*

200 Radar products are currently developed for the BASTA radar, all relying on the same techniques
201 as commonly used for pulsed radars. The background noise is first removed using a thresholding
202 technique and the isolated pixels are removed using erosion image processing. The mean and
203 standard deviation of the backscattered power signal at the far end of the radial is used to work

204 out the threshold value to be used. In case of cloud contamination we use a reference value which
205 has been derived from a longer monitoring of the noise characteristics for long clear sky periods
206 preceding the current observation. Note that the background noise value is by definition the same
207 for the four modes. This is crucial for the 12.5 m mode as the range bins at 6 km are much
208 more likely to include cloud echoes than at 12 km in the midlatitudes. We also use a structure
209 recognition technique based on the standard deviation of the Doppler velocity in a running window.
210 The velocity offers a higher contrast than the reflectivity. The Doppler velocity varies within the
211 range $[-V_{\max}, +V_{\max}]$, where V_{\max} is the Nyquist velocity. As a result if the droplet velocity is
212 faster than V_{\max} , the velocity will be folded within that range. Fortunately, the unfolding process
213 is straightforward. We use a gate to gate correction initialized at the first gate by the measured
214 value, assuming that most of the time the vertical air velocity is small near the ground, and as a
215 result the Doppler velocity is almost equal to the reflectivity-weighted terminal fall speed. We can
216 also use the rain detection to check the sign of the velocity. The 25 m, 100 m and 200 m modes
217 have a Nyquist velocity of around 5 m/s. In very ambiguous cases we use the velocity measured
218 by the 12.5 m mode (for which $V_{\max} \approx 10$ m/s) to unfold Doppler velocity from the other modes.

219 We also developed a synergistic product which combines all modes, so that non expert users can
220 readily use a single reflectivity and Doppler velocity estimate. In order to construct this product,
221 the 25 m mode is used as a baseline. The 12.5 m measurements are then averaged at the resolution
222 of the other modes and used to correct the folded Doppler velocities of the other modes. The
223 most sensitive modes (100 m and 200 m) are oversampled onto the 25 m resolution grid. An
224 illustration of this radar product is given in Fig. 6, where the top panel shows the multi mode
225 reflectivity and the middle panel shows the Doppler velocity measured on the 19th of January
226 2014 at SIRTa using the BASTA-BOM radar. The bottom panel indicates from which mode the
227 information comes from. A dedicated fog product is also similarly derived at the 12.5 m resolution,

228 using the 12.5 m mode as the baseline, and oversampling the other modes at this resolution. A
229 detection of the melting layer is also available in case of stratiform rain (not shown). Several radar-
230 only algorithms will also be adapted in a near future to derive ice/liquid water content and more
231 cloud microphysical properties from the BASTA observations (Matrosov and Heymsfield 2000;
232 Hogan et al. 2006; Deng and Mace 2006; Protat et al. 2007; Delanoë et al. 2007). The BASTA
233 radar can also be combined with lidar and microwave radiometers to improve the accuracy of the
234 cloud microphysical products (Löhnert et al. 2001; O'Connor et al. 2005; Illingworth et al. 2007;
235 Delanoë and Hogan 2008).

236 **3. Technical description and principle of the radar**

237 *a. Frequency Modulated Continuous Wave principle*

238 Basically all radars work on the time delay between the transmitted wave and the received wave
239 while the latter is travelling at the speed of light. This information is obtained by correlating the
240 transmitted and backscattered signals. The signal processing allows one to compute the energy
241 backscattered by the radar target but also to determine if the observed target is moving towards or
242 away from the radar. This processing is clearly facilitated by using a wave packet (usually referred
243 to as "pulses"), where energy is released for short time periods interleaved with silent periods.
244 Since we know exactly which wave packet has been interacting with the target the range deter-
245 mination becomes obvious. Note that the pulse repetition frequency (PRF) and the pulse length
246 define the performance and capability of the radar (ambiguous distance, ambiguous velocity, blind
247 zone). Unfortunately the pulse approach requires the emission of a huge amount of energy for a
248 very short period of time, which requires a very expensive transmitter (typically 250 keuros for
249 1.5 kW). The FMCW technique relies on the same radar principle except that the energy is trans-

250 mitted continuously without any dead time. The pulse is replaced by a modulation of the radar
 251 frequency. Consequently most of the challenge with such radars lies in the signal processing. Fig.
 252 7 describes the principle of the FMCW radar. The radar frequency varies between $F_0 - \Delta F$ and
 253 $F_0 + \Delta F$, where F_0 is the central frequency and ΔF represents half of the frequency band. A linear
 254 chirp is used to control the radar frequency changes. For example the red chirp corresponds to the
 255 transmitted signal and covers the $F_0 - \Delta F$ and $F_0 + \Delta F$ range in T_{rep} time. Once we get a return
 256 from the target the radar receives the echo signal in blue. The signal backscattered by the target is
 257 received with a time delay T_p , this time delay corresponds to a distance D which is defined by the
 258 following equation:

$$D = T_p \times \frac{c}{2} \quad (1)$$

259 where c is the electromagnetic-wave propagation speed. After convolution of the emitted and
 260 received signals, T_p is associated to a beat frequency F_b as shown in Fig. 7 such that:

$$F_b = 2\Delta F \times \frac{T_p}{T_{rep}} \quad (2)$$

261 Note that in our system the acquisition starts at $T_a = T_{rep}/2$ in order to avoid discontinuities due
 262 to extra echo returns as shown in the grey box included in Fig. 7. We clearly see the interest of
 263 using only half of the chirp as we avoid the contamination from other chirps (grey circle). Note
 264 that it does not suppress the contamination from target exceeding the maximum range however
 265 the return is weak enough to remain invisible. As a result there is a loss in sensitivity of 3 dB but
 266 we noticeably reduce typical FMCW artifacts. These artifacts, created by chirp returns, results in
 267 a frequency discontinuity and consequently in an increase of background noise within the radial.
 268 As a result the whole profile is contaminated and cannot be used.

269 As with any radar we can then compute:

270 - the ambiguous distance (D_a) :

$$D_a = T_a \times \frac{c}{2} \quad (3)$$

271 - the ambiguous velocity:

$$V_a = \frac{c}{4 \times F_0 \times T_{rep}} \quad (4)$$

272 - and the range resolution:

$$r = \frac{c}{2 \times 2\Delta F}. \quad (5)$$

273 Each mode is characterized by a dedicated chirp, i.e. a central frequency and a half band width.

274 *b. BASTA radar technical description*

275 The BASTA radar operates at 94.95 GHz. At such high frequency the radio frequency (RF)
276 components are either not available or very expensive. Fortunately, RF sources are available and
277 much cheaper at a lower frequency. As a result, we use a source at 15.825 GHz for the BASTA
278 radar, as explained in what follows. Note that in that case the central frequency of the chirp (F_0)
279 is not at 94.95 GHz. The radar diagram is presented in Fig 8. The technical description can be
280 separated into three parts: the transmitter, the receiver, and the acquisition/signal processing.

281 1) TRANSMITTER CHAIN

282 The frequency modulated signal is generated from two signals. First, a single side band mixer
283 (SSB) is triggered using a chirp frequency (F_{chirp}). It allows one to up convert a stabilized source
284 frequency at 15.825 GHz to a F_1 frequency as shown in Fig 8. F_1 in GHz is defined as follow:

$$F_1 = 15.825 + F_{chirp}, \quad (6)$$

285 with $F_{chirp} = F_c \pm dF$. F_c is the central frequency and dF is defined as the half band width of the
286 chirp. Then the second step of the signal generation makes use of a multiplier. F_1 is multiplied

287 by 6 to obtain F_0 . The derived signal is amplified (amplifier 0.5 W or 1W) and transmitted to the
 288 antenna via the wave guides. As a result F_0 can be expressed as:

$$F_0 = 6 \times F_1 = 94.95 + 6 \times F_{chirp} = 94.95 + 6F_c \pm \Delta F, \quad (7)$$

289 where $\Delta F = 6dF$.

290 2) RECEIVER CHAIN

291 The receiver chain is based on a single down conversion. The received signal is amplified
 292 through the low noise amplifier (LNA). The received signal is mixed with a signal at 94.95 GHz
 293 obtained from the source at 15.825 GHz multiplied by 6. The derived signal IF , defined as :

$$IF = 6F_c \pm \Delta F, \quad (8)$$

294 is amplified and filtered.

295 3) ACQUISITION AND SIGNAL PROCESSING

296 The analog signal IF from the receiver chain is digitized. The signal processing step is illustrated
 297 in Fig 9. It is based on the impulse response (R , complex number) in frequency in both module
 298 and phase for different radials. The impulse response is the result of a numerical demodulation
 299 of received chirp ($Chirp_{received}$) and its theoretical complex copy ($Chirp_{reference}$) weighted by a
 300 Hanning window which is a good compromise between frequency resolution and spectral leakage:

$$R = FFT[Chirp_{received} \times Chirp_{reference} \times Hanning]. \quad (9)$$

301 At this stage, the output signal is similar to a signal derived from a pulsed radar. The reflectivity
 302 and Doppler velocity are proportional to the module and the argument of a complex number PPP,
 303 respectively. PPP is computed using pulse pair processing following this expression:

$$PPP = \frac{1}{n-1} \sum_{i=0}^{i=n-1} R_i \times R_{i+1}^*, \quad (10)$$

304 where * refers to the complex conjugate of the impulse response. A field-programmable gate
305 array (FPGA) is in charge of the acquisition and the signal processing. The main advantage of this
306 system is the real time processing capability.

307 **4. Calibration**

308 Although cloud detection does not require any calibration, it is a crucial issue for the retrieval
309 of cloud microphysical properties (such as liquid or ice water content). There are different ways
310 to calibrate a cloud radar: knowing exactly all the constants and variables described in the radar
311 equation (internal calibration) or using meteorological or metal targets of reference (external cal-
312 ibration). Unfortunately it remains very difficult to determine the exact power budget through
313 electronic components and various gains. Therefore the target approach (artificial or meteorolog-
314 ical) remains the best way to calibrate radars. For calibration purposes we benefit from the small
315 size, light weight, and narrow beam width (0.4°) of the BASTA radar. The radar can be mounted
316 on a swing system to point manually horizontally or at different elevations. This is illustrated in
317 Figures 1c and d. On the 25th of June 2013 we carried out the first calibration procedure with the
318 radar prototype (BASTA-SIRTA). The radar was on a shelter roof at 3 m above the ground and
319 pointing towards a trihedral target, with a known backscatter, set at 560 m distance and mounted
320 on a 20 m tall mast. In Fig 10 we illustrate the measurements collected for a few hours on that
321 day. Panel a show the average power profile measured by the radar between 0 and 1 km, grey
322 lines represent the standard deviation envelope. Similarly the panel b shows the average velocity
323 profile. Note that during that period the relative humidity was less than 45 % and led to a two
324 way atmospheric attenuation at 95 GHz smaller than 0.5 dB between the radar and the target. We
325 clearly distinguish the target return for two gates (550 and 575 m) with a maximum power return
326 between 212 and 214 (Fig 10a and c) in arbitrary unit (decibel). Note that trees are also backscat-

327 tering the waves. However the Doppler velocity is not equal to zero contrary to the trihedral target
328 due to the trees' motion (Fig 10b). The measurements were made using the 25 m resolution mode.
329 It is then possible, knowing the theoretical return of the corner reflector, to evaluate the calibration
330 value to convert the uncalibrated power measured by the radar into reflectivity value in dBZ.

331 Note that the other modes are calibrated using this value and taking into account the range
332 resolution. BASTA-BOM and BASTA-Mobile have been calibrated using the BASTA-SIRTA
333 as reference. The evolution of the calibration value is not presented in this study but will be
334 thoroughly assessed in a future study. It is obvious that such a measurement must be repeated
335 many times a year. Fortunately we will see in the next section that the value presented here is a
336 very good proxy.

337 Hogan et al. (2003) proposed an elegant technique to calibrate 95 GHz radar and we have re-
338 produced the same experiment using simultaneous measurements from BASTA-SIRTA (vertically
339 pointing, Fig 1b) and BASTA-mobile at 30° elevation (Fig 1e) at the SIRTA observatory. Rain rate
340 was measured during a rain event by the Dual-Beam Spectropluviometer (DBS, Delahaye et al.
341 (2006)) which has been developed at LATMOS and operated at SIRTA only a few meters away
342 from the radars. The concept of the Hogan et al. (2003) calibration technique is to simultaneously
343 measure radar reflectivity at 500 m range and rain rate in light rain, and to compare the obtained
344 relationship between these two measurements to that predicted assuming a shape for the rain drop
345 size distribution and assuming that the path attenuation over a few hundred meters in light rain
346 is small or corrected from attenuation. Note that in that case the radome must remain as dry as
347 possible to avoid attenuation due to the wet radome. Hogan et al. (2003) positioned their radar at
348 a 30° elevation and protected the radome using a shelter. The same protocol is repeated here with
349 the BASTA-mobile tilted at 30° elevation and the radome protected from rain as shown in Fig 1e).

350 Fig 11 shows the data collected for two rain events (6th and 8th of October 2014). The average
351 calibrated reflectivity at about 300 (panel a, inclined) and 500 m (panel b, vertical) of both radars
352 are plotted against the rain rate. The distances used correspond to the same altitude accounting
353 for the 30° elevation. Note that we assume that the difference in attenuation is small (compared
354 to the wet radome attenuation) and we consider that the rain field is horizontally homogeneous
355 within a few hundred meters. Green lines represent the averaged values of reflectivity in 0.2 mm/h
356 bins of rain rate and the translucent lines indicate the standard deviation envelope. Circles and
357 stars lines correspond to the vertical radar with wet radome and inclined radar with dry radome
358 respectively. The red circle line illustrates the result of the attenuated reflectivity simulation at
359 500 m using drop size distribution measured by the DBS and the T matrix calculation assuming
360 an aspect ratio as a function of the diameter (Beard and Chuang 1987). The difference between
361 the dry radome measurement and T-matrix simulation for rain rate larger than 2 mm/h varies
362 between 0.5 and 2 dB. The poor comparison between reflectivity simulation and measurements
363 below 2 mm/h could be explained by the fact that the rain field is less homogenous and affected
364 more significantly by the vertical air motion for the DBS measurements. For a proper calibration
365 exercise we would recommend to increase the number of rain events to enlarge the statistic. The
366 second very important result is the effect of the wet radome, with the attenuation reaching almost
367 20 dB. Note that these estimates of wet radome attenuation could be used as a proxy to correct
368 the radar reflectivity as a function of rain rate, however this would require a specific study with
369 numerous rain conditions. We want to stress here that both radomes have a very similar behavior
370 with regards to the wet radome attenuation and the radars have been intercalibrated.

371 **5. Comparison with pulsed radar**

372 The BASTA-BOM radar was deployed at Darwin (Australia, NT) in March 2014. For 8 months
373 the BASTA-BOM was operated alongside the DOE ARM Ka-Band Zenith Radar (KAZR) at
374 34.86 GHz. We do not intend to present here an extended comparison of the radars, which will
375 be the subject of further investigation. Rather, here we illustrate the performance of the BASTA
376 on one selected cirrus case with no underlying liquid cloud layer to avoid differences due to dif-
377 ferential attenuation at the two frequencies in liquid clouds. We also intentionally chose a drier
378 day to minimize the impact of the gaseous attenuation correction at the two frequencies. The
379 gaseous attenuation at 35 GHz and 95 GHz have been estimated using the nearest sounding and
380 the Liebe (1985) model. Figs. 12a and b show the KAZR reflectivity on the 17th of March 2014
381 using the most sensitive mode (chirp mode) and the general mode. Note that although the KAZR
382 measurements (chirp mode) start at 1800 m and go up to 18 km, the comparison is limited to a
383 maximum height of 12 km, which corresponds to the unambiguous range of BASTA in its current
384 configuration. Figs. 12c, d and e show the same cloud observed by BASTA at 25 m, 100 m, and
385 200 m, respectively. For that specific day the difference in the two way attenuation is estimated
386 at 1.5 dB at 12 km. The reflectivity of the radars has been corrected from gaseous attenuation.
387 Note that the echoes observed by the KAZR below 2 km between 10 UTC and 14 UTC and not by
388 BASTA are coming from insects (Wood et al. 2009); due to their large size and their rather small
389 concentration the 35 GHz radar is more sensitive to their presence. There is clearly excellent
390 agreement between the pulsed radar and FMCW radar observations of this ice cloud. Two periods
391 are selected, between 12 and 14 UTC and between 14.5 and 15.5 UTC, where all the profiles are
392 averaged and presented in Fig 12f and g. In these profiles we clearly measure the sensitivity
393 difference between the BASTA modes and the KAZR general and sensitive modes in the clear

394 air part of these profiles. In that specific case, the differences in sensitivity between the BASTA
395 25 m, 100 m and 200 m modes and the sensitive KAZR mode (cirrus mode) are 19 dB, 13 dB
396 and 10 dB, respectively. The difference in sensitivity between the BASTA 25 m and the general
397 KAZR mode is around 2 dB. The sensitivity at 1 km of the BASTA-BOM at 25 m resolution is
398 about -48 dBZ. A new mode dedicated to the Tropics is currently under development. This extra
399 capability will profile the atmosphere at 100 m resolution with an unambiguous range at 18 km
400 and an unambiguous velocity of 2.5 m/s.

401 **6. Remaining issues and technical points**

402 We made significant progress since the beginning of the project in 2006. For instance we aban-
403 doned the random code approach to use a chirp for modulating the signal. We also accepted to lose
404 3 dB sensitivity by using only half of the chirp, which has proven very efficient to mitigate typical
405 FMCW signal contamination. In addition, many minor adjustments and improvements led to the
406 encouraging results presented in this study. However a few artifacts remain in case of heavy rain,
407 such as noise increase at the far end of the profile. This can be easily removed using post process-
408 ing algorithms. We are currently working on a solution to get rid of these artifacts associated with
409 heavy rain, by changing the central frequency of the chirp (IF). It is also important to mention that
410 the FMCW radar is sensitive to external electromagnetic perturbation. For instance it is crucial to
411 ensure that the cables between the radar box and the shelter are properly isolated. The coupling
412 effect between the antennas can also introduce artifacts and we have limited their effects by using
413 absorbing foam around and between the antennas and on the radar box frame. Having a bi-static
414 radar have advantages as we can do measurements at very short range to the radar. However it
415 is mandatory to accurately align the antennas in order to maximise beams overlap. To facilitate
416 this setting the transmitting antenna is fixed and the receiving one can be adjusted using small el-

417 evators. For example we use the signal of an homogenous cirrus and adjust the receiving antenna
418 until the received power is maximized.

419 **7. Conclusion and outlook**

420 In this paper we describe the BASTA cloud radar project, for which the FMCW technique is
421 preferred to very expensive pulsed cloud radars. Since the development of the first prototype
422 operating continuously at SIRTA since 2010, we have improved the system and built two other
423 radars with outstanding performance. The first target of BASTA radar was the midlatitude clouds,
424 therefore the maximum range was set to 12 km. The recent deployment of the instrument in the
425 Tropics showed that given the high sensitivity of the BASTA radar the maximum range had to
426 be extended to 20 km. Consequently we are developing a new mode at 100 m resolution with a
427 more adequate maximum range. In this mode the unambiguous velocity will be 2.5 m/s instead
428 of 5 m/s. The other modes will help to correct the folding issue in the common range. The pulse
429 pair processing is currently done in the FPGA. We are investigating the capability to record the
430 signal after the FFT complex calculation in order to carry spectrum analysis. This can be very
431 useful for cloud phase discrimination (Shupe et al. 2004; Luke et al. 2010), turbulence studies
432 (Brewster and Zrnić 1986), attenuation correction and drop size distribution retrieval (Giangrande
433 et al. 2010). We demonstrate that the BASTA radar is a very promising alternative to the very
434 expensive cloud radars. As the price remains reasonable it can be envisioned to develop networks
435 of FMCW cloud radars. For instance, fog monitoring feasibility at airports using BASTA radar is
436 currently underway. We are also experimenting the possibility to use a BASTA radar for studying
437 volcanic ash (Donnadieu et al. 2011; Donnadieu 2012).

438 Some recent experiments have been carried with the objective of analyzing whether or not the
439 refractivity variability measured with W-band radar can lead to information at hectometer scales on

440 turbulent behavior of the atmosphere. BASTA was one of the radars used during a recent campaign
441 which took place in France at SIRTAs in summer 2014. The radar was pointing horizontally toward
442 four calibrated targets and measured the refractivity variations during two months with a sampling
443 rate of 0.25 s. Several instruments allowed comparison between radar refractivity measured by
444 BASTA and in-situ measurements (using Besson et al. (2012)).

445 *Acknowledgments.* We are grateful to the SIRTAs team which provides day to day basis support
446 for operating the radars and organizing the data stream. We would like to express our thanks
447 to Nicolas Pauwels and Christophe Legac for their help and for our fruitful discussions around
448 FMCW technique. We also thank Brad Atkinson for his help with the installation of BASTA-
449 BOM in Darwin. We would like to thank "U.S. Department of Energy as part of the Atmospheric
450 Radiation Measurement Climate Research Facility" for providing KAZR data. BASTA develop-
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453 partly funded by CNES.

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598 -

599 **LIST OF TABLES**

600 **Table 1.** Radar main specifications 31

601 **Table 2.** Radar characteristics for each mode 32

TABLE 1. Radar main specifications

Radar type	Bistatic FMCW, single polarisation Doppler
Operating frequency	≈94.95 GHz
Transmitter type	Solid state
System noise figure	≈8 dB
Transmit power	27 to 30 dBm (0.5 to 1 W)
Input power	550 VA
Dimensions and weight	
Weight	60-70 kg
Length	154 cm
Width	95 cm
Height	74 cm
Antenna type	2 Cassegrain-field parabolic dishes
Diameter	0.60 m
Gain	54 dBi
Beamwidth	0.4 deg
Data acquisition / processing system	ADC / FPGA
Chirp analyse time	40-80 μ s
Sampling rate	51.2 MHz
Algorithm used	Pulse Pair Processing
Archive data format	netCDF
Measurements	Reflectivity and Doppler velocity
Minimum distance to valid signal	40 m (depending on resolution)

TABLE 2. Radar characteristics for each mode

Range resolution (m)	12.5	25	100	200
Unambiguous range (km)	6	12	12	12
Unambiguous velocity (m/s)	9.87	4.935	4.935	4.935
Pulse repetition period (T_{rep}) (μs)	80	160	160	160
Chirp analysis time (T_a) (μs)	40	80	80	80
Chirp band (MHz)	90 ± 12	90 ± 6	90 ± 1.5	90 ± 0.75
FFT points number	2048	4096	4096	4096
Gate number	480	480	120	60

602 **LIST OF FIGURES**

603 **Fig. 1.** Photos of the radar. Panels A and B represent a top view showing antennas and electronic
604 and the outside of the original prototype deployed at SIRTA. Panels C, D and E show the
605 BASTA-mobile in different positions. 34

606 **Fig. 2.** Range corrected reflectivity and Doppler velocity at 25 m resolution (3s integration). The
607 data have collected at SIRTA from the 01/01/2015 to 23/01/2015. 35

608 **Fig. 3.** Altitude-Z distributions for the three BASTA (SIRTA/BOM/MOBILE) for different time
609 periods. In the two first columns (panels a to f) the color scale represents the number of hit
610 in each reflectivity and altitude bins. Panels g to i show the same distributions respectively
611 once normalized by the total number at each altitude. The dashed line highlights the 1 km
612 range. 36

613 **Fig. 4.** Fog/low clouds measurements, Vd is the Doppler velocity and Z is the radar reflectivity.
614 The data were collected by BASTA-MOBILE at SIRTA from the 21st to the 23rd of Decem-
615 ber 2014 and from the 5th to the 7th of January 2015. The vertical stripes on the second
616 examples are due to electrical power disruption, the radar restarted automatically 37

617 **Fig. 5.** Range corrected reflectivity and Doppler velocity at 12.5 m, 25 m, 100 m and 200 m reso-
618 lution. The data have collected at SIRTA on the 18/01/2014. 38

619 **Fig. 6.** Example of merged data collected on the 19th of January 2014. Top panel presents the
620 reflectivity, middle panel the Doppler velocity. Bottom panel illustrates the data source
621 (12.5 m/25 m/100 m/200 m). 39

622 **Fig. 7.** Frequency Modulated Continuous Wave principle and an example of the impact of using
623 half of the chirp (grey box). 40

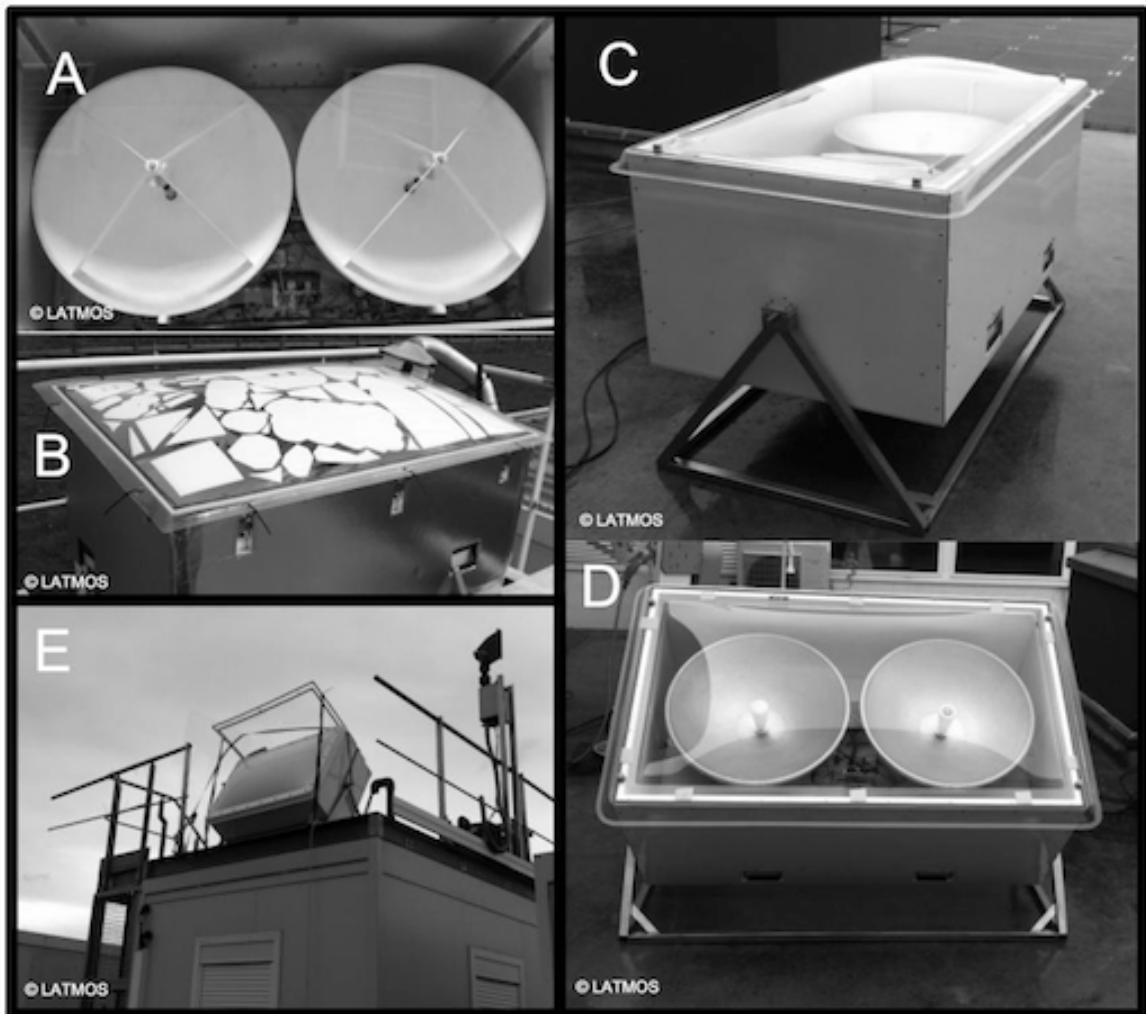
624 **Fig. 8.** Radar block diagram 41

625 **Fig. 9.** FMCW signal processing 42

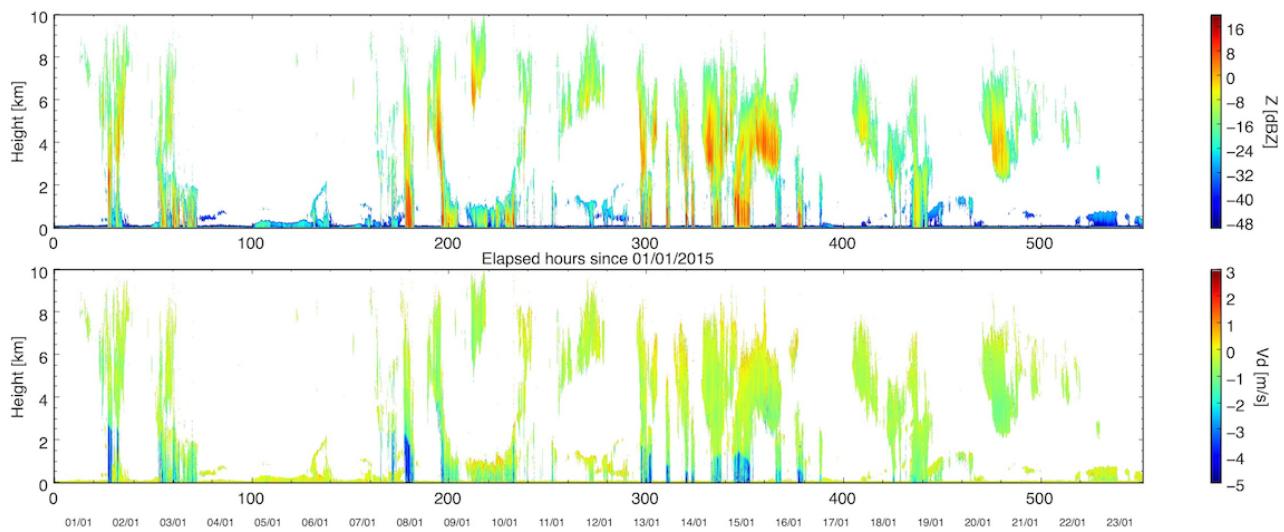
626 **Fig. 10.** Calibration approach example for BASTA SIRTA on the 25th of June 2013. The calibration
627 is carried out using the radar pointing horizontally towards a trihedral target. Panels a and b
628 represent the average raw reflectivity and velocity respectively as a function of radar range.
629 Grey dashed lines represent mean \pm standard deviation. Panel c illustrates the histogram of
630 the energy backscattered by the target. 43

631 **Fig. 11.** Calibration verification using rain echo and rain rate and drop size measurements. BASTA-
632 SIRTA is pointing vertically while BASTA-MOBILE is inclined and protected from rain.
633 The average value of calibrated reflectivity of both radars as a function of rain rate at 306
634 m (vertical) and 506 m (inclined 30°) range is represented in panels a and b respectively.
635 The red line, in panel a, represents the simulated attenuated reflectivity at 500 m, using the
636 measured drop size distribution T-matrix calculation assuming an aspect ratio as a function
637 of diameter (Beard and Chuang 1987). 44

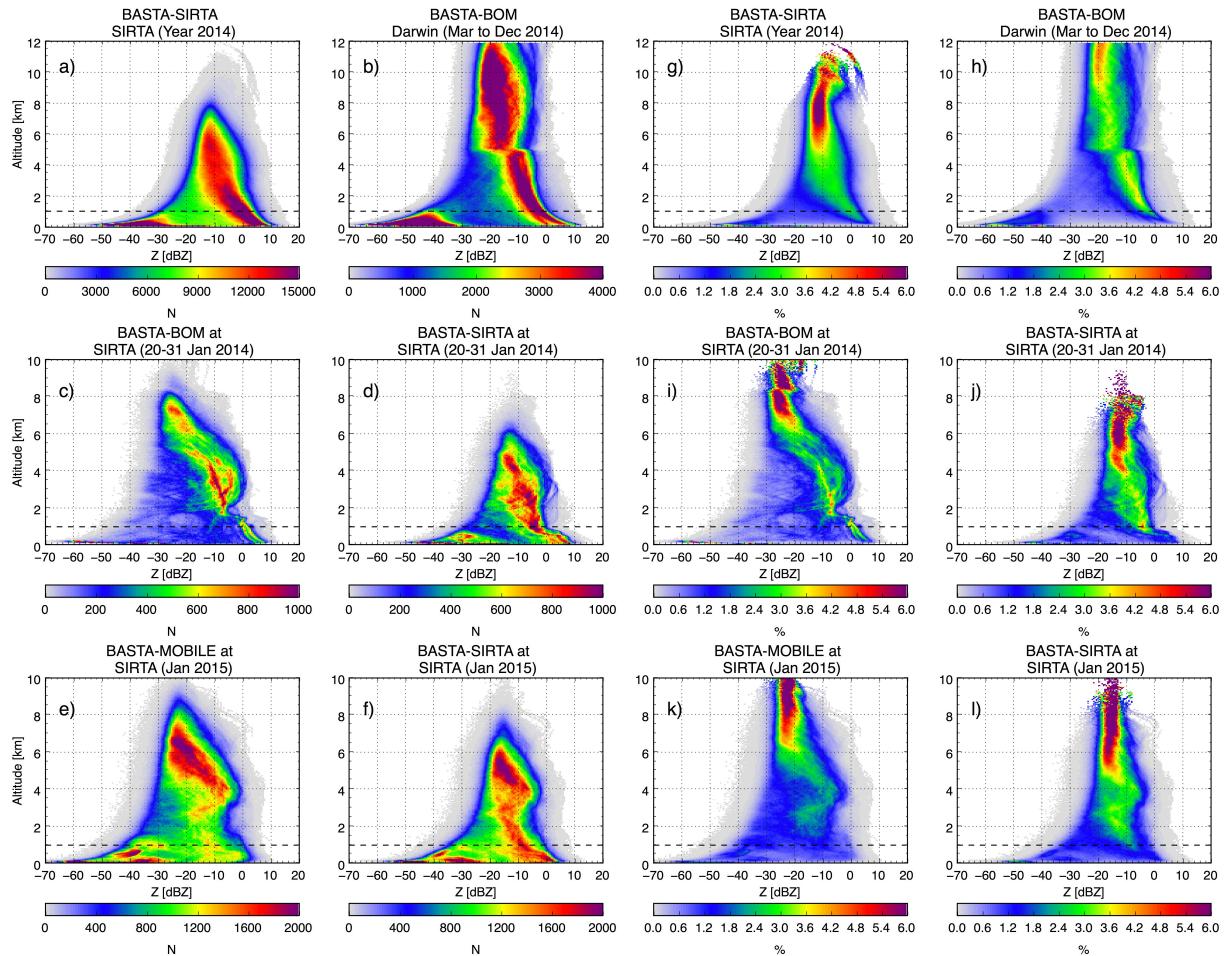
638 **Fig. 12.** Comparison of the range corrected reflectivity at DARWIN (Australia) between the 35 GHz
639 KAZR Doppler radar sensitive mode (a), normal mode (b) and the 3 BASTA modes at 25 m
640 (c), 100 m (d) and 200 m (d) vertical resolution. Panels f) and g) show the mean profiles for
641 each radar and modes between 12 UTC and 13 UTC and between 14.5 UTC and 15.5 UTC.
642 The dashed lines represent the noise level for each profile. 45



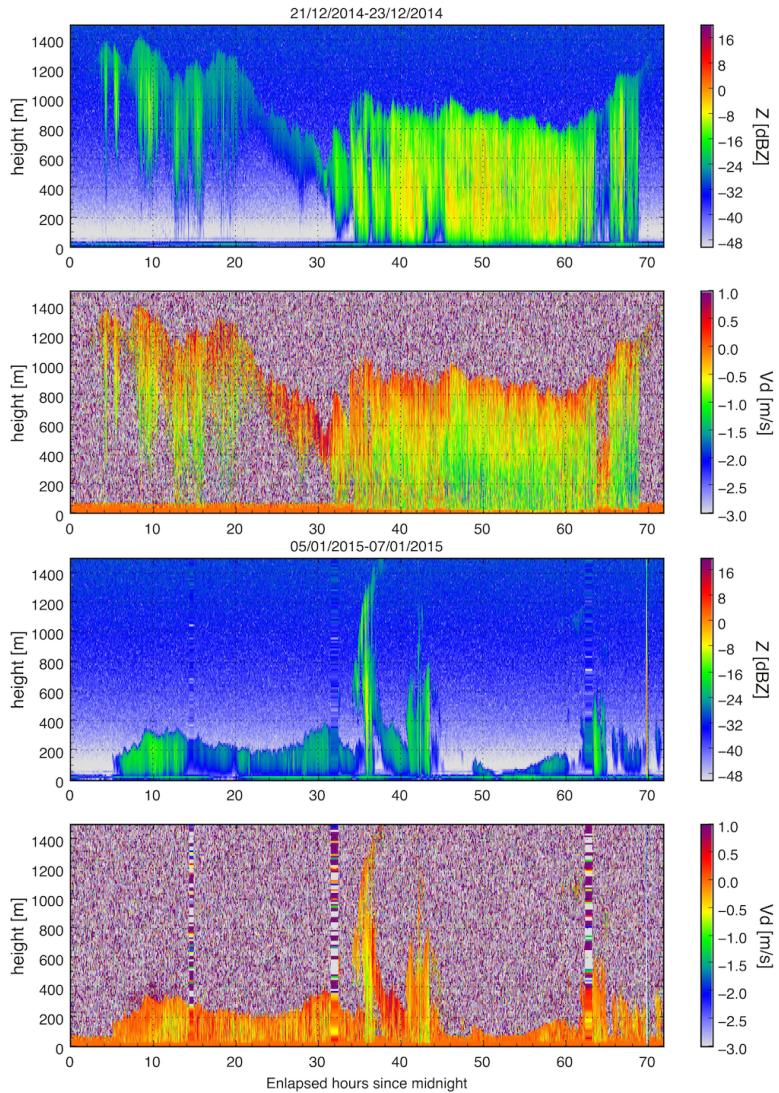
643 FIG. 1. Photos of the radar. Panels A and B represent a top view showing antennas and electronic and the
644 outside of the original prototype deployed at SIRTA. Panels C, D and E show the BASTA-mobile in different
645 positions.



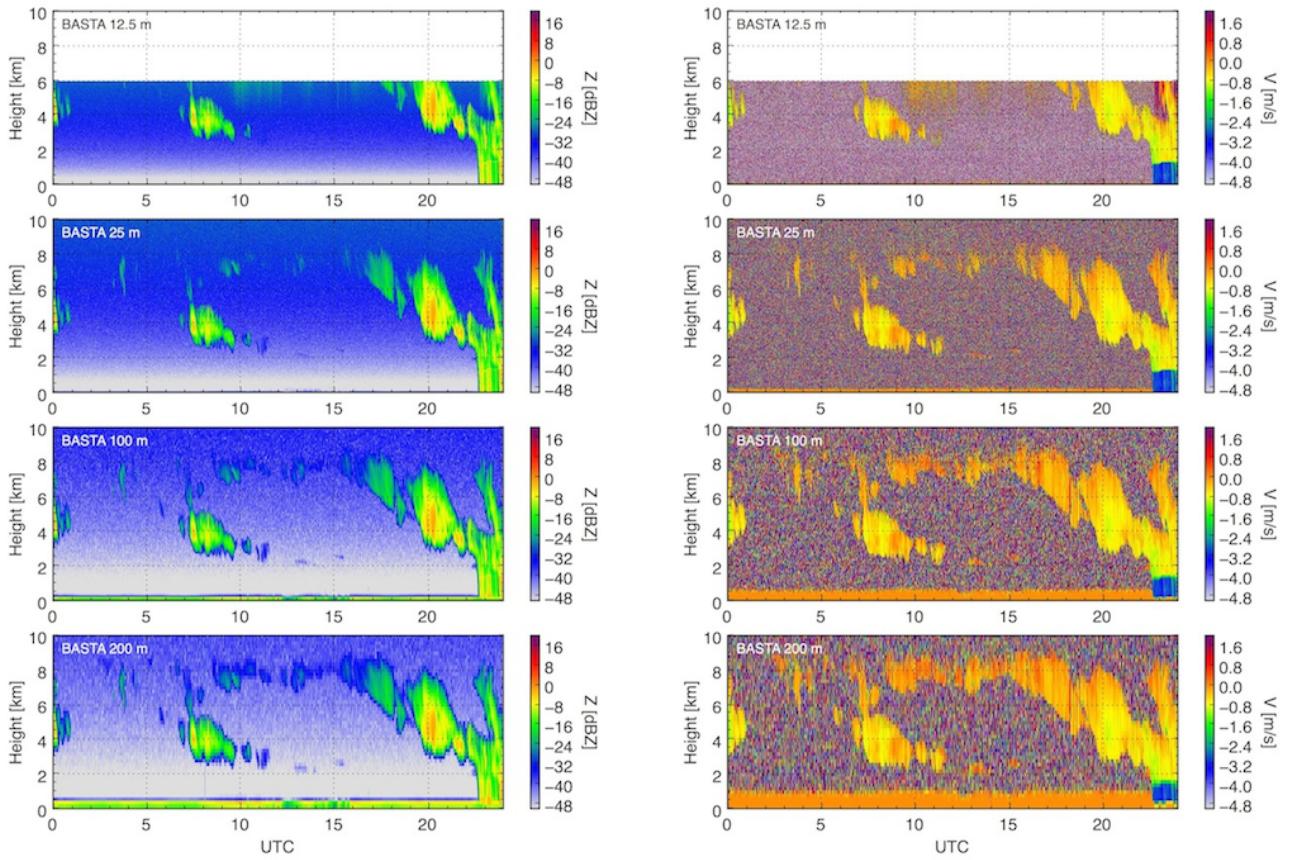
646 FIG. 2. Range corrected reflectivity and Doppler velocity at 25 m resolution (3s integration). The data have
 647 collected at SIRTa from the 01/01/2015 to 23/01/2015.



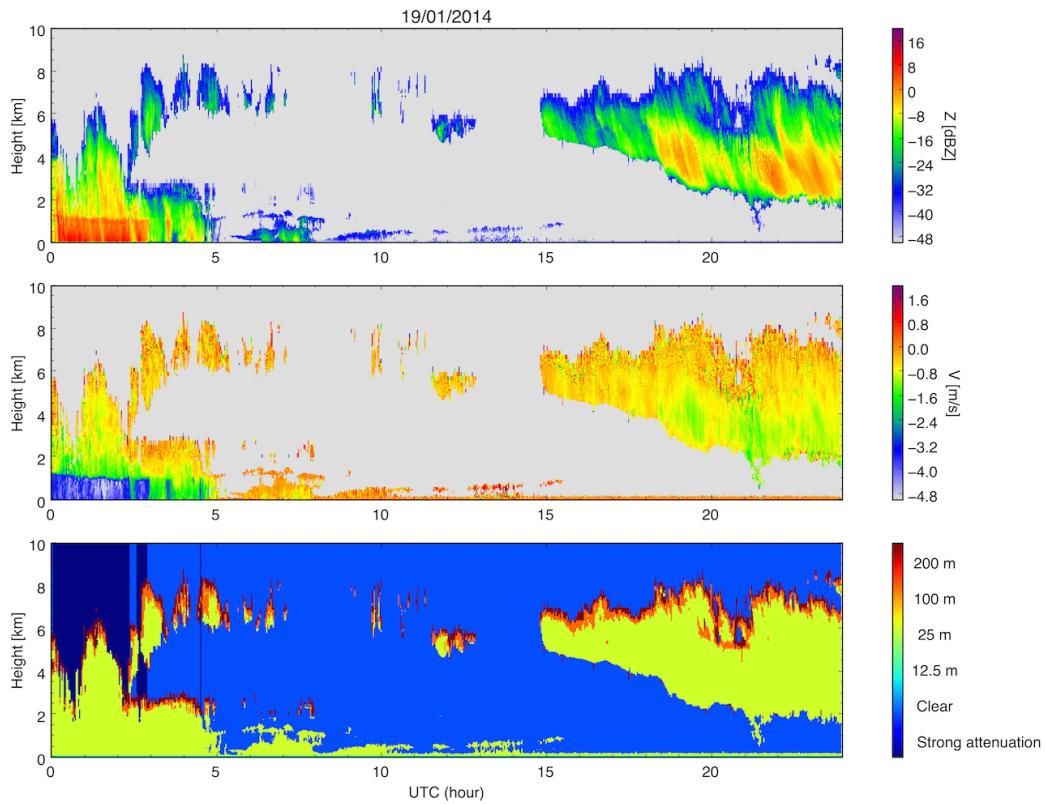
648 FIG. 3. Altitude-Z distributions for the three BASTA (SIRTA/BOM/MOBILE) for different time periods. In
 649 the two first columns (panels a to f) the color scale represents the number of hit in each reflectivity and altitude
 650 bins. Panels g to l show the same distributions respectively once normalized by the total number at each altitude.
 651 The dashed line highlights the 1 km range.



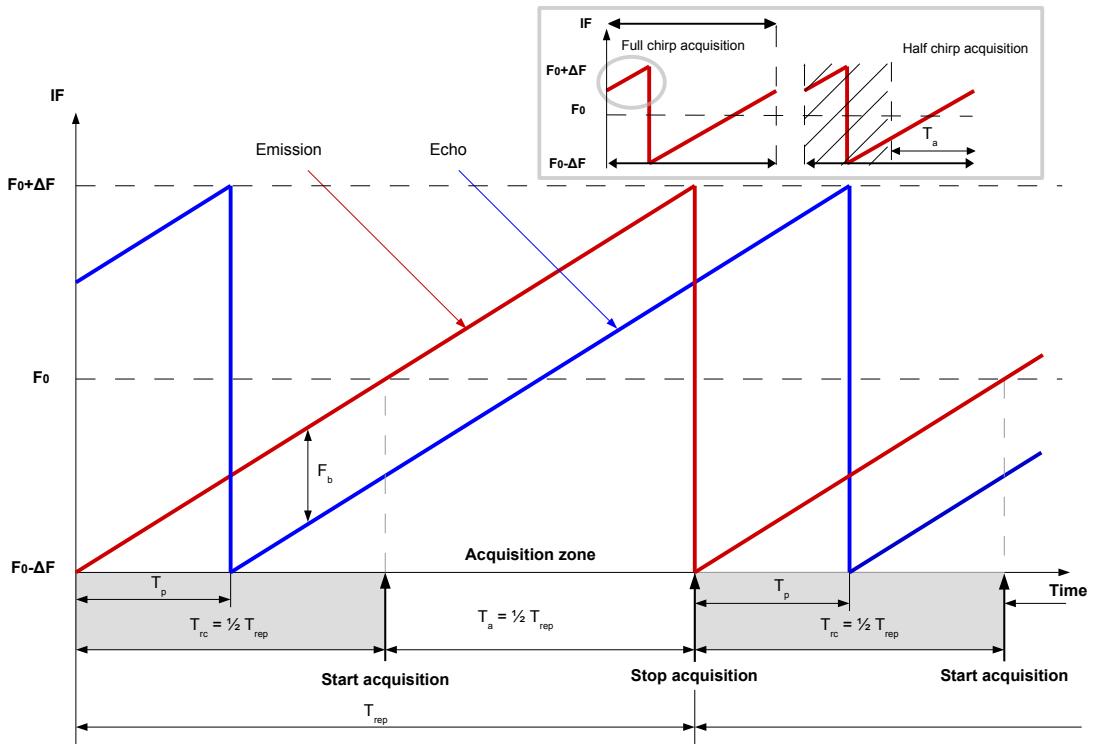
652 FIG. 4. Fog/low clouds measurements, Vd is the Doppler velocity and Z is the radar reflectivity. The data
 653 were collected by BASTA-MOBILE at SIRTa from the 21st to the 23rd of December 2014 and from the 5th to
 654 the 7th of January 2015. The vertical stripes on the second examples are due to electrical power disruption, the
 655 radar restarted automatically



656 FIG. 5. Range corrected reflectivity and Doppler velocity at 12.5 m, 25 m, 100 m and 200 m resolution. The
 657 data have collected at SIRTA on the 18/01/2014.



658 FIG. 6. Example of merged data collected on the 19th of January 2014. Top panel presents the reflectivity,
 659 middle panel the Doppler velocity. Bottom panel illustrates the data source (12.5 m/25 m/100 m/200 m).



660 FIG. 7. Frequency Modulated Continuous Wave principle and an example of the impact of using half of the
 661 chirp (grey box).

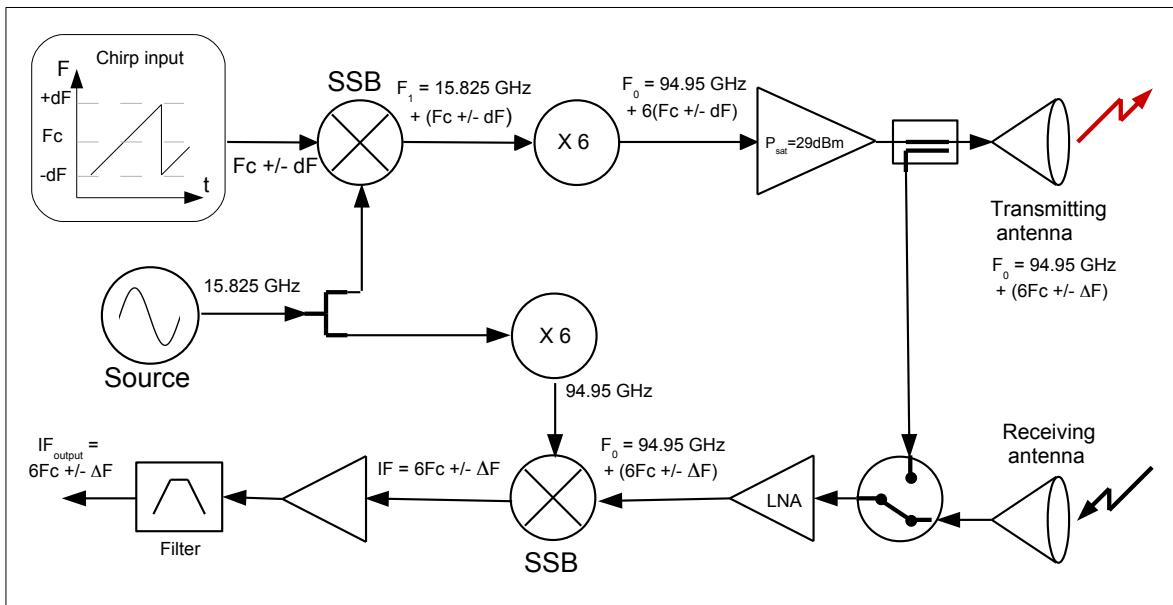


FIG. 8. Radar block diagram

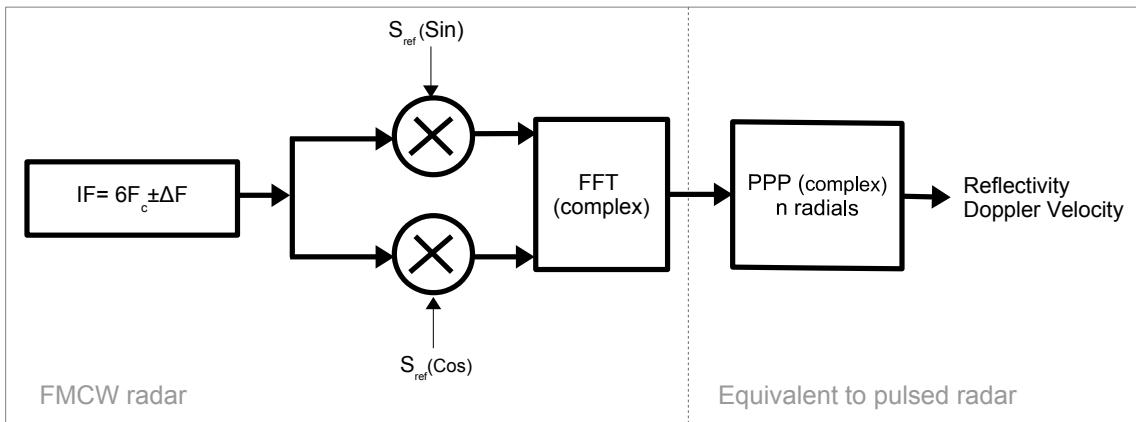
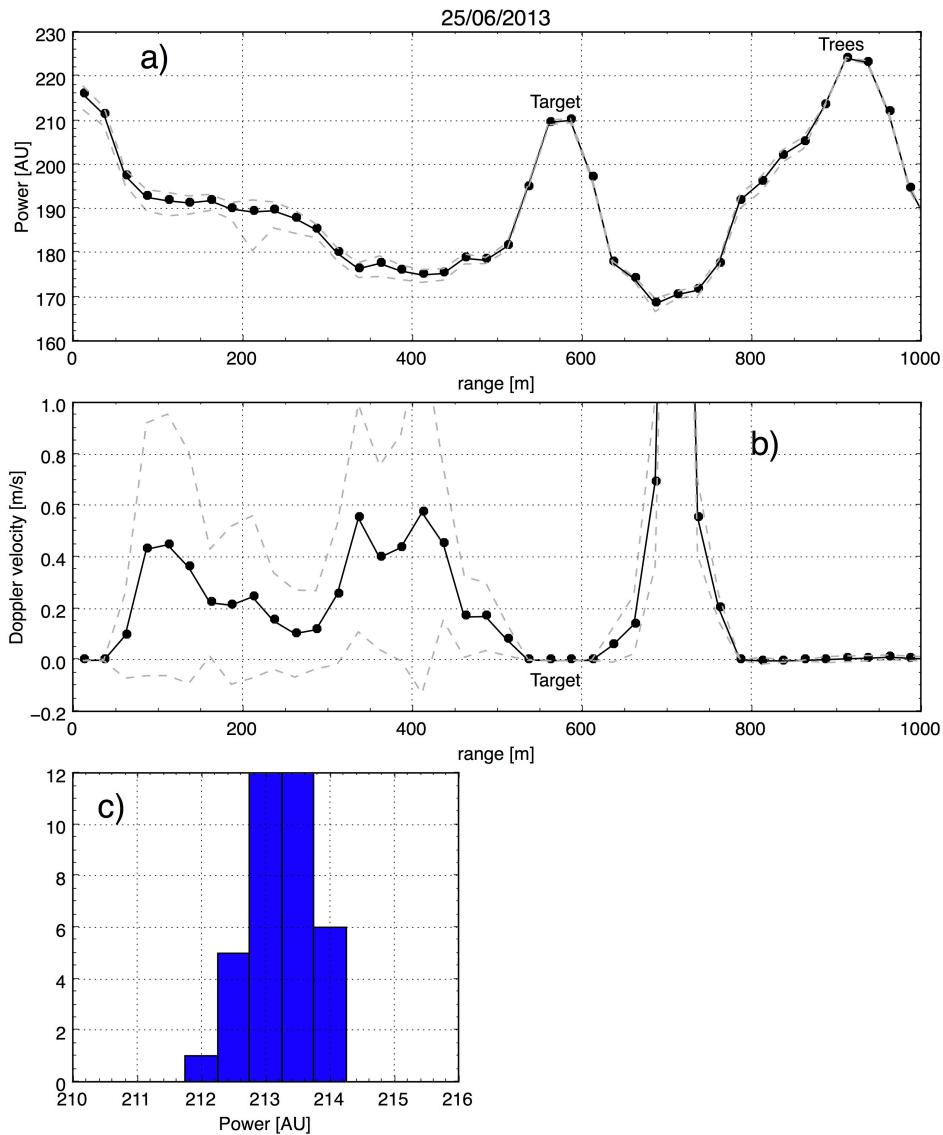
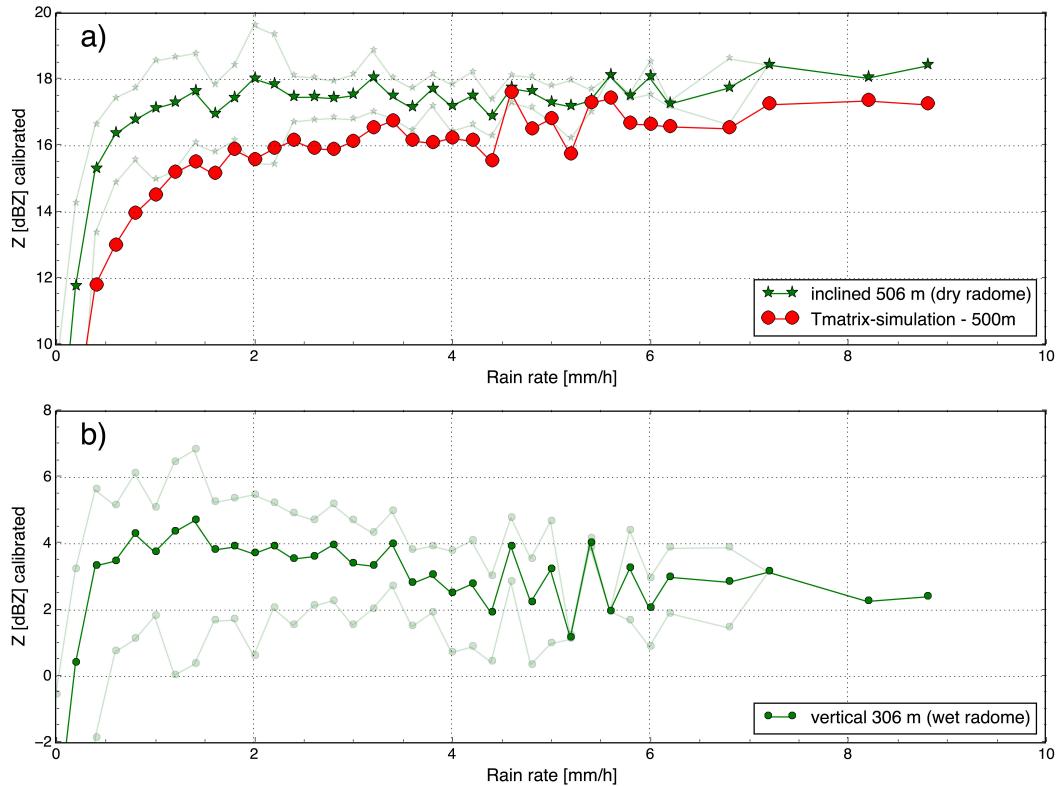


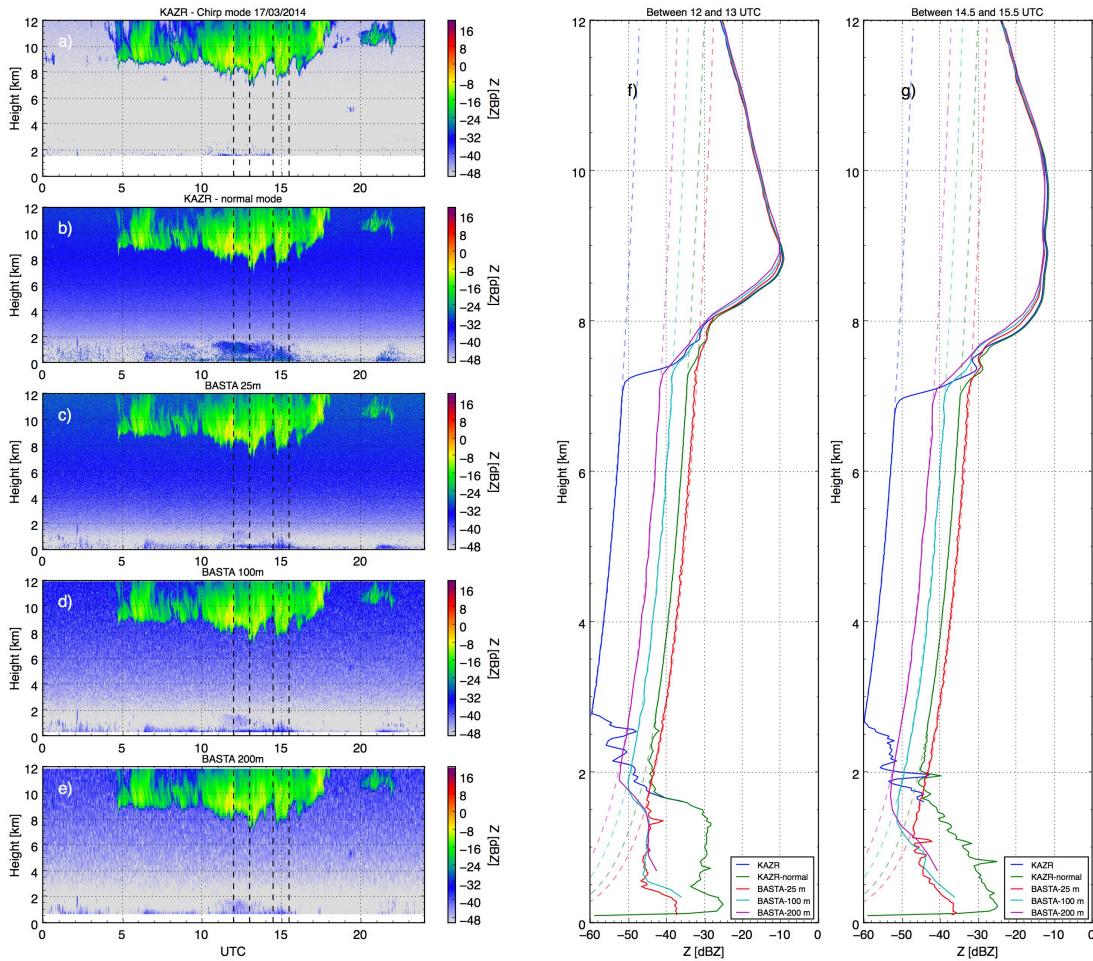
FIG. 9. FMCW signal processing



662 FIG. 10. Calibration approach example for BASTA SIRTA on the 25th of June 2013. The calibration is
 663 carried out using the radar pointing horizontally towards a trihedral target. Panels a and b represent the average
 664 raw reflectivity and velocity respectively as a function of radar range. Grey dashed lines represent mean \pm
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672 FIG. 12. Comparison of the range corrected reflectivity at DARWIN (Australia) between the 35 GHz KAZR
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 674 (d) vertical resolution. Panels f) and g) show the mean profiles for each radar and modes between 12 UTC and
 675 13 UTC and between 14.5 UTC and 15.5 UTC. The dashed lines represent the noise level for each profile.